

**KEY INFORMATION MEMORANDUM (KIM)****Platinum Hybrid Long-Short Fund**

(An interval investment strategy investing in equity and debt securities, including limited short exposure in equity and debt through derivatives.)

(Scrip Code for NSE & BSE will be added after listing of the units)

This Product is suitable for investors who are seeking*	Risk Band*	Benchmark Risk- band (as applicable)
<ul style="list-style-type: none"> <li>Regular Income and Long term capital appreciation</li> <li>Investment predominantly in equity and debt securities, including limited short exposure in equity and debt through derivatives</li> </ul> <p>*Investors should consult their financial advisors if they are not clear about the suitability of the product.</p>	<p>Risk band Level 2</p>	<p>Risk band Level 3 NIFTY 50 Hybrid Composite Debt 50:50 Index</p>

\*The Risk Band shall be as specified by AMFI.

*Note: The above product labelling assigned during the New Fund Offer (NFO) is based on internal assessment of the characteristics of the investment strategy or model portfolio and the same may vary post NFO when the actual investments are made.*

**Offer of Units of Rs. 10/- per unit for cash during the New Fund Offer Period and continuous offer for units at NAV based prices.**

**New Fund Offer opens on: - May 20, 2026**

**New Fund Offer closes on: - June 03, 2026**

**Investment Strategy re-opens on: - June 11, 2026**

Name of SIF	<b>Platinum SIF</b>
Name of the mutual fund:	<b>Mirae Asset Mutual Fund</b>
Name of Asset Management Company	Mirae Asset Investment Managers (India) Private Limited CIN: U65990MH2019PTC324625
Name of Trustee Company	Mirae Asset Trustee Company Private Limited CIN: U65191MH2007FTC170231
Addresses, Website of the entities (including SIF)	Unit No.606, Windsor Building, Off. C.S.T Road, Kalina, Santacruz (East), Mumbai – 400098 <b>Tel. No.:</b> 022-678 00 300 <b>Fax No.:</b> 022- 6725 3940 – 47  <b>Website:</b> <a href="http://www.miraeassetmf.co.in">www.miraeassetmf.co.in</a> <b>E-mail:</b> <a href="mailto:miraeasset@miraeassetmf.co.in">miraeasset@miraeassetmf.co.in</a> <b>Website:</b> <a href="http://platinumsif.miraeassetmf.co.in">platinumsif.miraeassetmf.co.in</a> <b>E-mail:</b> <a href="mailto:Compliance@miraeasset.com">Compliance@miraeasset.com</a>

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. **For further details of the Investment Strategy /SIF, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Investment Strategy Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centers or distributors or from the website [www.miraeassetmf.co.in](http://www.miraeassetmf.co.in).**

**The Investment Strategy particulars have been prepared in accordance with Securities and Exchange**

**Board of India (Mutual Funds) Regulations 2026, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.**

**DISCLAIMER OF NSE:**

As required, a copy of this Investment Strategy Information Document (ISID) has been submitted to National Stock Exchange of India Limited (hereinafter referred to as NSE). NSE has given vide its letter NSE/LIST/ 5997 dated January 28, 2026 permission to the Platinum SIF to use the Exchange's name in this ISID as one of the stock exchanges on which the SIF's units are proposed to be listed subject to, the SIF fulfilling various criteria for listing. The Exchange has scrutinized this ISID for its limited internal purpose of deciding on the matter of granting the aforesaid permission to Platinum SIF. It is to be distinctly understood that the aforesaid permission given by NSE should not in any way be deemed or construed that the ISID has been cleared or approved by NSE; nor does it in any manner warrant, certify or endorse the correctness or completeness of any of the contents of this ISID; nor does it warrant that Platinum SIF's units will be listed or will continue to be listed on the Exchange; nor does it take any responsibility for the financial or other soundness of the SIF, its sponsors, its management or any Investment Strategy of Platinum SIF.

Every person who desires to apply for or otherwise acquire any units of Platinum SIF may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription /acquisition whether by reason of anything stated or omitted to be stated herein or any other reason whatsoever.

**DISCLAIMER OF BSE:**

“BSE Ltd. (“the Exchange”) has given vide its letter no. LO/IPO/PJ/MF/IP/97/2025-26 dated January 21, 2026 permission to use the Exchange’s name in this ISID as one of the Stock Exchanges on which Platinum SIF’s Units are proposed to be listed. The Exchange has scrutinized this ISID for its limited internal purpose of deciding on the matter of granting the aforesaid permission to. The Exchange does not in any manner: -

- i) warrant, certify or endorse the correctness or completeness of any of the contents of this ISID; or
- ii) warrant that this Investment Strategy’s units will be listed or will continue to be listed on the Exchange; or
- iii) take any responsibility for the financial or other soundness of this SIF, its promoters, its management or any Strategy or project of the Platinum SIF;

and it should not for any reason be deemed or construed that this ISID has been cleared or approved by the Exchange.

Every person who desires to apply for or otherwise acquires any unit of this Investment Strategy may do so pursuant to independent inquiry, investigation and analysis and shall not have any claim against the Exchange whatsoever by reason of any loss which may be suffered by such person consequent to or in connection with such subscription/ acquisition whether by reason of anything stated or omitted to be stated herein or any other reason whatsoever.

<p><b>Investment objective</b></p>	<p>The Investment Strategy shall seek to generate regular income through investment in derivative strategies, arbitrage opportunities and debt and money market instruments and to generate long-term capital appreciation by investing in unhedged equity and equity related instruments.</p> <p><b>There is no assurance that the investment objective of the Investment Strategy will be achieved.</b></p>		
<p><b>Asset Allocation Pattern of the Investment Strategy</b></p>	<p>Under normal circumstances, the asset allocation will be as follows:</p>		
	<p><b>Types of Instruments</b></p>	<p><b>Indicative allocation (% of total assets)</b></p>	
		<p><b>Minimum (%)</b></p>	<p><b>Maximum (%)</b></p>
	<p>Equity and Equity related instruments*</p>	<p>65</p>	<p>75</p>

Short exposure through unhedged derivative positions in equity and debt instruments	0	25
Debt and Money Market Instruments	25	35
Units issued by InvITs	0	20

The above allocation is based on the current structure of the stock market and could undergo change in future in accordance with SEBI regulations and guidelines.

\*Equity and Equity related instruments include REITs, convertible debentures, equity warrants, convertible preference shares, equity derivatives etc.

The investment strategies under the SIF may take exposure of up to 25% of the net assets in permissible exchange traded derivative instruments, specifically for purposes other than hedging and portfolio rebalancing. This provision allows an investment strategy under the SIF to take unhedged short exposure through derivative instruments of up to 25% of net assets, in addition to derivative exposure undertaken for hedging and portfolio rebalancing purposes.

The investment strategy can invest upto 75% of Net Assets of investment strategy into equity derivative instruments (including writing covered call options in line with SEBI guidelines) for the purpose of hedging and portfolio rebalancing.

The Investment Strategy may invest in securitized debt up to 20% of the net assets of the investment strategy.

The Investment Strategy may participate in stock/securities lending up to 20% of total Net Assets of the Investment Strategy and would limit its exposure with regard to stock/securities lending for a single intermediary to the extent of 5% of the total net assets at the time of lending.

The Investment Strategy may invest in Repo/Reverse repo in Corporate Debt. The gross exposure of the investment strategy to 'corporate bonds repo transactions' shall not be more than 10% of the net assets of the concerned investment strategy or as permitted by SEBI. The Investment Strategy may participate in Debt Instruments with Structured Obligations / credit enhancements and Debt Instruments having Special Features up to 20% of the net assets as defined under para 13.1 of SEBI Master Circular dated March 20, 2026.

Pursuant to paragraph 13.18 of the SEBI Master Circular for Mutual Funds dated March 20, 2026, the cumulative gross exposure through equity and equity related instruments, debt, derivative positions (including equity and fixed income derivatives), repo transactions and, Infrastructure Investment Trusts (InvITs), other permitted securities/assets and such other securities/assets as may be permitted by the Board from time to time should not exceed 100% of the net assets of the investment strategy.

Cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. As per para 13.18.6. of SEBI Master Circular dated March 20, 2026, Cash Equivalent shall consist of Government Securities, T-Bills and Repo on Government Securities having residual maturity of less than 91 days.

The Investment Strategy does not intend to undertake/ invest/ engage in:

- Credit default swaps
- Unrated Debt instruments (except G-Secs, T-Bills and other money market instruments)
- Overseas/Foreign Securities

Debt securities include, but are not limited to, debt securities of the Government of India, State and Local Governments, Government Agencies, Statutory Bodies, Public Sector Undertakings, Public Sector Banks or Private Sector Banks or any other Banks, Financial Institutions, Development Financial Institutions, and Corporate Entities, collateralized debt securities or any other instruments as may be prevailing and permissible under the Regulations from time to time).

The debt securities (including money market instruments) referred to above could be fixed rate or floating rate, listed, unlisted, privately placed, among others, as permitted by regulation.

Pending deployment of funds of an Investment Strategy in securities in terms of investment objectives of the investment strategy, a specialized investment fund can invest the funds of the investment strategy in short term deposits of scheduled commercial banks in terms of para 13.7 of SEBI Master Circular dated March 20, 2026.

Further, the Investment Strategy may, for meeting liquidity requirements invest in units of money market/liquid schemes of Mirae Asset Mutual Fund and/or any other mutual fund, provided that aggregate inter-scheme investment made by all Investment Strategies under the same management or in schemes under the management of any other asset management company shall not exceed 5% of the net asset value of the specialized investment fund in accordance with Sixth Schedule of SEBI (Mutual Funds) Regulations, 2026 read with para 13.14 of SEBI Master Circular dated March 20, 2026.

Indicative Table (Actual instrument/percentages may vary subject to applicable SEBI circulars)

Sl. no	Type of Instrument	Percentage of exposure	Circular references*
1.	Securities Lending	Upto 20% (upto 5% for any single intermediary)	Para 13.6. of SEBI Master Circular dated March 20, 2026
2.	Equity Derivatives for the purpose of hedging and portfolio rebalancing	Upto 75%	para 13.15 of SEBI Master Circular dated March 20, 2026
3.	Equity derivative for non-hedging purpose	Upto 50%	para 13.15 of SEBI Master Circular dated March 20, 2026
3.	Securitized Debt	Upto 20%	para 13.1 of SEBI Master Circular dated March 20, 2026
4.	Overseas Securities	0%	Para 13.11 of SEBI Master Circular dated March 20, 2026
5.	Units issued by InVITS	Upto 20%	Para 13.13 of SEBI Master Circular dated March 20, 2026
6.	Debt instruments with special features (AT1 and AT2 Bonds)	Upto 20%	Para 13.1 of SEBI Master Circular dated March 20, 2026
7.	Debt Instruments with SO / CE	Upto 20%	Para 13.1 of SEBI Master Circular dated March 20, 2026
8.	Tri-party repos	Upto 20%	-
9.	Repo in corporate debt securities	Upto 10%	Para 13.8 of SEBI Master Circular dated March 20, 2026

10.	Credit Default Swaps	0%	Para 13.17 of SEBI Master Circular dated March 20, 2026
11.	Unrated Debt Instruments	0%	Para 13.1 of SEBI Master Circular dated March 20, 2026
12.	Units of Mutual Funds	5% of the net asset value of the Specialized Investment Fund	Clause 3 of Sixth Schedule of SEBI (MF) Regulations read with paragraph 13.14 of the SEBI Master Circular dated March 20, 2026
13.	Securities Lending	Upto 20% (upto 5% for any single intermediary)	Para 13.6. of SEBI Master Circular dated March 20, 2026

\*SEBI circular references (wherever applicable) in support of exposure limits of different types of asset classes in asset allocation shall be provided.

**Timelines for deployment of funds collected in NFO:**

Pursuant to Para 7.24 of SEBI Master Circular dated March 20, 2026, the AMC shall deploy the funds garnered in an NFO within 30 business days from the allotment date. In an exceptional case, if the AMC is not able to deploy the funds in 30 business days, reasons in writing, including details of efforts taken to deploy the funds, shall be placed before the Investment Committee of the AMC. The Investment Committee may extend the timeline by 30 business days. In case the funds are not deployed as per the asset allocation mentioned in the ISID as per the aforesaid mandated plus extended timelines, AMC shall:

1. not be permitted to receive fresh flows in the same investment strategy till the time the funds are deployed as per the asset allocation mentioned in the ISID
2. not be permitted to levy exit load, if any, on the investors exiting such investment strategies after 60 business days of not complying with the asset allocation of the investment strategy
3. inform all investors of the NFO, about the option of an exit from the concerned investment strategy without exit load, via email, SMS or other similar mode of communication
4. report deviation, if any, to Trustees at each of the above stages.

**Rebalancing due to passive breach:**

As per Paragraph 3.11 of SEBI Master Circular on Mutual Funds dated March 20, 2026, as may be amended from time to time, in the event of deviation from mandated asset allocation mentioned above due to passive breaches, the rebalancing will be carried out in 30 business days from the date of deviation. Where the portfolio is not rebalanced within 30 business days, justification for the same including details of efforts taken to rebalance the portfolio shall be placed before the Investment Committee and reasons for the same shall be recorded in writing. The Investment Committee, if so desires, can extend the timelines up to sixty (60) business days from the date of completion of mandated rebalancing period in accordance with para 3.11 of SEBI Master Circular on Mutual Funds dated March 20, 2026. However, at all times the portfolio will adhere to the overall investment objectives of the Investment Strategy.

In case the portfolio of Investment Strategies is not rebalanced within the aforementioned mandated plus extended timelines, AMCs shall:

- not be permitted to launch any new investment strategy till the time the portfolio is rebalanced;

	<ul style="list-style-type: none"> <li>• not to levy exit load, if any, on the investors exiting such investment strategy</li> </ul> <p><b>Rebalancing of deviation due to short term defensive consideration</b></p> <p>Subject to SEBI (MF) Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages can vary substantially depending upon the perception of the Investment Manager; the intention being at all times to seek to protect the interests of the Unit holders. As per para 1.9 of SEBI Master Circular dated March 20, 2026 such changes in the investment pattern will be for short term and for defensive consideration only. In the event of deviations, portfolio rebalancing will be carried out within 30 calendar days in such cases.</p>
<p><b>Investment Approach</b></p>	<p>The investment approach for the strategy has been formulated with the primary objective of generating <b>steady, income-oriented returns with minimal downside risk</b>. The strategy seeks to <b>fully utilize the expanded risk-mitigation avenues</b> available under the SIF framework, particularly through the use of <b>complex derivative structures</b>. <b>Investment Strategy shall follow active investment approach.</b></p> <p>Additionally, the fund will selectively participate in <b>opportunistic special-situation trades</b> that arise periodically in the markets.</p> <p>➤ <b><u>Core Income-Generating Strategies</u></b></p> <p><b>1. Arbitrage</b></p> <p>Arbitrage opportunities frequently arise across various derivative instruments. The fund will deploy capital in opportunities such as:</p> <ul style="list-style-type: none"> <li>• <b>Single-stock futures vs. underlying equity</b></li> <li>• <b>Index futures vs. constituent index stocks</b></li> </ul> <p>These strategies are inherently defensive, offering <b>limited upside but with limited downside risk when positions are held till expiry</b>. They are particularly effective in <b>down-trending markets</b>, delivering steady returns while insulating the portfolio from downside risks.</p> <p><b>2. Covered Calls</b></p> <p>The covered call strategy involves purchasing underlying equities while simultaneously selling out-of-the-money call options. This allows the fund to:</p> <ul style="list-style-type: none"> <li>• Generate <b>additional yield in range-bound markets</b></li> <li>• Participate <b>partially in upside movements</b></li> </ul> <p>While uncovered downside risk exists, the fund will <b>actively mitigate it</b> by purchasing put options:</p> <ul style="list-style-type: none"> <li>• <b>Stock-specific puts</b> to enhance protection, albeit with modest impact on profitability</li> <li>• <b>Index put options</b> to hedge residual portfolio-level downside risk</li> </ul> <p>➤ <b><u>Opportunistic Alpha-Generating Strategies</u></b></p> <p>The fund will also deploy capital judiciously in special-situation opportunities, including:</p>

1. **IPOs/FPOs:** Participation in primary issuances via Initial Public Offerings (IPOs) / Follow-on Public Offerings (FPOs) .
2. **Block Deals:** Taking advantage of **discounted market transactions** periodically available through block deals.
3. **Stock Buybacks:** Entering positions in companies announcing buybacks at a **premium to prevailing market prices**, with residual risks hedged through derivatives.
4. **Merger Arbitrage:** Executing hedged positions in announced mergers to capture **spread convergence** upon deal completion.
5. **Rights/Partly paid stocks**

➤ **Additional Alpha with Controlled Downside**

Beyond core and opportunistic trades, the fund may also participate in strategies that offer **higher alpha potential** while maintaining controlled downside exposure:

**1. Pair Trades**

Exploiting temporary divergence between **statistically correlated stocks** driven by fundamental or flow-based dislocations, expecting reversion to long-term averages.

**2. Directional Futures/Options Spread Trades**

Using structured derivative strategies—such as **bull spreads, bear spreads, and protective puts**—to express high-conviction views with **pre-defined loss limits**.

Across all strategies, the fund will maintain a strong focus on **downside protection**, achieved through:

- **Protective puts** on underlying securities, and/or
- **Index options** to hedge residual portfolio-level risks

This ensures that the fund's exposure remains consistent with its objective of **steady returns with limited downside volatility**.

The list of strategies outlined above is **illustrative**, not exhaustive. Additional opportunities—including those involving investment in **foreign securities**—may be pursued if deemed appropriate by the fund manager.

Furthermore, any changes in SEBI regulations governing SIFs may require adjustments to the strategy mix. However, the **fund's core focus will be on generating stable returns with controlled downside risk**.

Fund will also invest in fixed income instruments including cash equivalents and high rated debt instruments.

**Investment in Derivatives:**

The Investment Strategy may take derivatives position based on the opportunities available subject to the guidelines issued by SEBI from time to time and in line with the overall investment objective of the Investment Strategy. These may be taken to hedge the portfolio, rebalance the same or to undertake any other strategy as permitted under the SEBI Regulations.

Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies.

The risk associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments.

### **Derivative Strategies to create unhedged short positions**

An unhedged short position in an underlying asset through exchange-traded derivatives can be created by deploying strategies like:

- i. short futures,
- ii. short call options,
- iii. long put options,
- iv. bear put spreads (buying a higher strike put and selling a lower strike put with the same expiry),
- v. bear call spreads (selling a lower strike call and buying a higher strike call with the same expiry),
- vi. synthetic shorts (buying a put and selling a call at the same strike and expiry), etc.

This list is not exhaustive, and fund managers may use other derivative strategies based on the investment mandate and in compliance with the regulatory guidelines.

### **Risks Associated with Unhedged Short Derivatives Strategies:**

Unhedged short derivatives strategies carry various risks depending on the instruments and positions used, potentially leading to significant financial losses if market prices move unfavourably. These strategies require careful consideration of price movements and premium costs to manage maximum possible losses effectively.

The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments. For detailed derivative strategies, please refer to SAI.

### **Debt:**

The Investment Strategy will also invest in debt securities and money market instruments.

- The credit quality of the portfolio will be maintained and monitored using in-house research capabilities as well as inputs from external sources such as independent credit rating agencies.
- The investment team will primarily use a top down approach for taking interest rate view, sector allocation along with a bottom up approach for security/instrument selection.

- The bottom up approach will assess the quality of security/instrument (including the financial health of the issuer) as well as the liquidity of the security.
- Investments in debt instruments carry various risks such as interest rate risk, reinvestment risk, credit risk and liquidity risk etc. Whilst such risks cannot be eliminated, they may be minimized through diversification.

Risk is an inherent part of the investment function. Effective risk management is critical to fund management for achieving financial soundness. Investments by the Investment Strategy shall be made as per the investment objective of the Investment Strategy and provisions of SEBI (MF) Regulations. AMC has incorporated adequate safeguards to manage risk in the portfolio construction process. Risk control would involve managing risk in order to keep it in line with the investment objective of the Investment Strategy. The risk control process involves identifying & measuring the risk through various Risk Measurement Tools like but not limited to calculating risk ratios, tracking error etc. The AMC has implemented Bloomberg as the Front Office and Settlement System (FOS). The system has incorporated all the investment restrictions as per SEBI guidelines and “soft” warning alerts at appropriate levels for pre-emptive monitoring. The system enables identifying & measuring the risk through various risk measurement tools like various risk ratios, average duration and analyses the same so as to act in a preventive manner.

The risk control measures for managing the debt portion of the investment strategy are:

1. Monitoring risk adjusted returns performance of the fund with respect to its peers and its benchmark.
2. Tracking analysis of the fund on various risk parameters undertaken by independent fund research / rating agencies or analysts and take corrective measures if needed.
3. Credit analysis plays an important role at the time of purchase of bond and then at the time of regular performance analysis. Our internal research anchors the credit analysis. Sources for credit analysis include Capital Line, CRISIL, ICRA updates etc. Debt ratios, financials, cash flows are analysed at regular intervals to take a call on the credit risk.
4. We define individual limits for G-Sec, money market instruments, MIBOR linked debentures and corporate bonds exposure, for diversification reasons.

#### **Policy for Investment decisions**

The investment policy of the AMC has been determined by the Investment Committee (“IC”) which has been ratified by the Boards of the AMC and Trustee. At the strategic level, the broad investment philosophy of the AMC and the authorized exposure limits are spelt out in the Investment Policy of the AMC. During trading hours, the Fund Managers have the discretion to take investment decisions for the Investment Strategy within the limits defined in the Investment Policy, these decisions and the reasons thereof are communicated to the CEO for post facto approval.

The designated Fund Manager(s) of the Investment Strategy will be responsible for taking day-to-day investment decisions and will inter-alia be responsible for asset allocation, security selection and timing of investment decisions.

#### **Portfolio Turnover Policy**

	<p>Portfolio turnover is defined as the aggregate value of purchases or sales as a percentage of the corpus of an investment strategy during a specified period of time. The Investment Strategy is open ended, with subscriptions and redemptions expected on a daily basis, resulting in net inflow/outflow of funds, and on account of the various factors that affect portfolio turnover; it is difficult to give an estimate, with any reasonable amount of accuracy.</p> <p>However, during volatile market conditions, the fund manager has the flexibility to churn the portfolio actively to optimize returns keeping in mind the cost associated with it. Further, given the investment strategy may deploy different derivative strategies during various market conditions with a view to optimize returns, this may also lead to higher churn in the portfolio. A higher portfolio turnover may lead to higher brokerage and transaction costs.</p>
<p><b>Risk Profile of the Investment Strategy</b></p>	<p><b>Investment Strategy Specific Risk Factors</b></p> <p>Some of the specific risk factors related to the Investment Strategy include, but are not limited to the following:</p> <p>The Investment Strategy seeks to invest minimum of 65% of its net assets in equity and equity related instruments including short exposure in equity through derivative instruments upto 25% of net assets. Participation in equities including unhedged exposure to equity derivatives may result in relatively higher volatility than pure long only funds. Further, while the Fund will invest across large, mid and small caps stocks and therefore may provide possible higher capital appreciation, it is important to note that midcap and small cap stocks may be riskier and more volatile on a relative basis. It should be noted that over a period of time, large cap, midcap and small cap stocks have demonstrated different levels of volatility and investment returns. And it is important to note that generally, no one category consistently outperforms the others.</p> <p>Please read the ISID carefully for details on risk factors before investment. Investment Strategy specific Risk Factors are summarized below:</p> <ul style="list-style-type: none"> <li>• Risks associated with investments in Equity and Equity related instruments</li> <li>• Risks Associated with Debt &amp; Money Market Instruments/ Fixed Income Securities</li> <li>• Risks Associated with Derivatives</li> <li>• Correlation Risk</li> <li>• Risks Associated with Unhedged Short Derivatives Strategies</li> <li>• Risks associated with Repo transactions in Corporate Bonds</li> <li>• Risk associated with Covered Call</li> <li>• Risks associated with segregated portfolio</li> <li>• Risk associated with Securities Lending</li> <li>• Risk associated with Securitized Debt</li> <li>• Risk factors associated with InvITs</li> <li>• Risk factors associated for investments in SIF Investment Strategies</li> </ul>
<p><b>Plans and Options</b></p> <p>Plans/Options and sub options under the Investment Strategy</p>	<p>The Investment Strategy shall have Regular Plan and Direct Plan** with a common portfolio and separate NAVs. Investors should indicate the Plan for which the subscription is made by indicating the choice in the application form.</p> <p>Each of the above, Regular and Direct Plan under the Investment Strategy will have the following Options: (1) Growth Option and (2) Income Distribution cum Capital Withdrawal (IDCW) Option.</p>

The IDCW Option shall have the following 2 sub-options:

- a) Payout of Income Distribution cum capital withdrawal option (“Payout of IDCW”)
- b) Reinvestment of Income Distribution cum capital withdrawal option (“Reinvestment of IDCW”).

The default option for the unitholders will be Regular Plan - Growth Option, if he is routing his investments through a distributor and Direct Plan – Growth option if he is a direct investor.

If the unit holders select IDCW option but does not specify the sub-option then the default sub-option shall be Reinvestment of IDCW.

Amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

Investors subscribing under Direct Plan of the Investment Strategy will have to indicate “Direct Plan” against the Investment Strategy name in the application form i.e. “PLATINUM HYBRID LONG-SHORT FUND- Direct Plan”.

**Guidelines for Processing of transactions received under Regular Plan with invalid ARN**

In accordance with AMFI circular no. 135/BP/ 111 /2023-24 dated February 2, 2024, transactions received in Regular Plan with Invalid ARN shall be processed in Direct Plan of the same Investment Strategy (even if reported in Regular Plan), applying the below logic:

Transaction Type	Primary ARN			SUB distributor ARN		EUI N*	Execution Only Mentioned	Regular Plan / Direct Plan
	Valid	Invalid	Empanelled	Valid	Invalid	Valid	Yes	
Lump Sum/	Y		Y				Y	Regular
Registration	Y		N	Not applicable				Direct
	Y		Y	N.A.	N.A.	N.A.	N	Regular*
	Y		Y	Y		Y		Regular
		Y						Direct
	Y		Y	Y			Y	Regular
	Y		Y		Y			Direct
Trigger	Y			Not applicable				Regular
		Y		Not applicable				Direct

The AMC reserves the right to introduce a new option / investment Plan at a later date, subject to the SEBI (MF) Regulations. The AMC also reserves the right to discontinue / withdraw any option / investment plan, if deemed fit, after taking approval of the Board of Directors of AMC and Trustee.

	<p><b>**DIRECT PLAN:</b> Direct Plan is only for investors who purchase /subscribe Units in a Investment Strategy directly with the Specialized Investment Fund or through the stock exchange and is not available for investors who route their investments through a Distributor.</p> <p>For detailed disclosure on default plans and options, kindly refer SAI.</p>
<p><b>Applicable NAV (after the Investment Strategy opens for Redemption/repurchase and sale)</b></p>	<p>Cut-off time is the time before which the Investor's Application Form(s) (complete in all respects) should reach the Official Points of Acceptance to be entitled to the Applicable NAV of that Business Day.</p> <p>An application will be considered accepted on a Business Day, subject to it being complete in all respects and received and time stamped upto the relevant Cut-off time mentioned below, at any of the Official Points of Acceptance of transactions. Where an application is received and the time stamping is done after the relevant Cut-off time the request will be deemed to have been received on the next Business Day.</p> <p>Cut off timing for subscriptions/purchases/switch- ins:</p> <p>i. In respect of valid applications received upto 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase/switch-ins as per the application are credited to the bank account of the Investment Strategy before the cut-off time i.e. available for utilization before the cut-off time- the closing NAV of the day shall be applicable.</p> <p>ii. In respect of valid applications received after 3.00 p.m. at the Official Point(s) of Acceptance and where the funds for the entire amount of subscription / purchase as per the application are credited to the bank account of the Investment Strategy before the cut-off time of the next Business Day i.e. available for utilization before the cut-off time of the next Business Day - the closing NAV of the next Business Day shall be applicable.</p> <p>iii. Irrespective of the time of receipt of applications at the Official Point(s) of Acceptance, where the funds for the entire amount of subscription/purchase/ switch-ins as per the application are credited to the bank account of the Investment Strategy before the cut-off time on any subsequent Business Day i.e. available for utilization before the cut-off time on any subsequent Business Day - the closing NAV of such subsequent Business Day shall be applicable.</p> <p>For Redemption/ Repurchases/Switch out:</p> <ul style="list-style-type: none"> <li>• In respect of valid application accepted at an Official Points of Acceptance up to 3 p.m. on a Business Day by the Fund, the closing NAV of that day will be applicable.</li> <li>• In respect of valid application accepted at an Official Point of Acceptance as listed in the SAI, after 3 p.m. on a Business Day by the Fund, the closing NAV of the next Business Day will be applicable</li> </ul>
<p><b>Subscription frequency</b></p>	<p>All business days</p> <p>The Trustees reserves the right to change the Subscription frequency in future, subject to SEBI Regulations and any other law, as applicable.</p>
<p><b>Redemption frequency</b></p>	<p>2 times in a week (Monday &amp; Thursday) or at any lesser frequency as may be decided by the AMC.</p> <p>Redemption requests received after Thursday 3.00 PM till Monday 3.00 PM would be considered for processing with Monday NAV, and requests received after Monday 3.00 PM till Thursday 3.00 PM would be processed with Thursday NAV. In case Monday or Thursday is a non- business day, the AMC shall process the redemption on the next business day.</p>

	The Trustees reserves the right to change the Redemption frequency in future, subject to SEBI Regulations and any other law, as applicable.		
<b>Minimum Investment Threshold</b>	<p>Pursuant to Para 21.4. of SEBI Master circular dated March 20, 2026, an aggregate investment by an investor across all investment strategies offered by Platinum SIF, at the Permanent Account Number ('PAN') level, should not be less than Rs. 10 lakhs ('Minimum Investment Threshold').</p> <p>The AMC will monitor compliance with the Minimum Investment Threshold on a daily basis and ensure that there are no active breaches. The AMC will ensure that the investor's total investment value does not fall below the Minimum Investment Threshold due to redemption transactions initiated by the investor.</p> <p>Passive breaches (occurrence of instances not arising out of omission and commission by AMC), such as those caused by a decline in Net Asset Value (NAV), shall not be treated as a violation of the Minimum Investment Threshold. However, if the total investment value falls below the threshold due to a passive breach, the investor shall only be permitted to redeem the entire remaining investment amount from the SIF.</p> <p>In case of any active breach of the Minimum Investment Threshold by an investor, including through transactions on stock exchanges or off-market transfers:</p> <ol style="list-style-type: none"> <li>all units of such investor held across investment strategies of the SIF shall be frozen for debit, and</li> <li>a notice of 30 calendar days shall be given to such investor to rebalance the investments in order to comply with the Minimum Investment Threshold.</li> </ol> <p>Pursuant to the notice to the investor as mentioned above:</p> <ol style="list-style-type: none"> <li>in case investor rebalances his/her investments in SIF within the notice period of 30 calendar days, the units of SIF of such investor shall be unfreeze, and no further action shall be taken with regard to compliance with Minimum Investment Threshold.</li> </ol> <p>in case the investor fails to rebalance the investments within the aforesaid 30 calendar day period, the frozen units shall be automatically redeemed by the AMC, at the applicable Net Asset Value of the next immediate business day after the 30th calendar day of the notice period.</p>		
<b>Minimum Application Amount/ Number of Units</b>	<b>Purchase</b>	<b>Additional Purchase</b>	<b>Redemption/Repurchase</b>
	<p><b>During NFO:</b></p> <p>Minimum of Rs. 10,00,000/- and in multiples of Rs. 1,000/- thereafter.</p> <p>Minimum amount for accredited investor (for definition please refer to section II of this document): Rs. 5,00,000 and in multiples of Re. 1,000/- thereafter.</p> <p><b>On Continuous basis:</b></p>	<p>Rs.10,000/- and in multiples of Re.1,000/- thereafter. For accredited investors, the minimum additional amount shall be Rs. 10,000/- and in multiples of Rs. 1,000/- thereafter.</p>	<p>The minimum redemption amount shall be 'any amount' or 'any number of units' as requested by the investor at the time of redemption subject to fulfilment of the criteria by investor that the aggregate investment by an investor across all investment strategies offered by the Platinum SIF, at the Permanent Account Number ('PAN') level, does not fall below INR 10 lakh on account of such redemption. Subject to the</p>

	<p>Minimum of Rs. 10,00,000/- and in multiples of Rs. 1,000/- thereafter.</p> <p>Minimum amount for accredited investor (for definition please refer to section II of this document): Rs. 5,00,000 and in multiples of Re. 1,000/- thereafter.</p> <p>SIP: Rs. 50,000 and in multiples of Re. 1/- thereafter, subject to that the minimum investment amount by an investor should not be less than Rs. 10,00,000/-.</p> <p>SWP: Rs. 50,000 and in multiples of Re. 1/- thereafter. For SWP, the minimum investment amount after each withdrawal should be maintained at least Rs. 10,00,000/- and for accredited investor it should be at least Rs. 5,00,000/-.</p> <p>Note: The minimum aggregate investment by an investor across all investment strategies offered by the Platinum SIF, at the Permanent Account Number ('PAN') level, shall not be less than INR 10 lakh.</p> <p>The Minimum Application amount mentioned above shall not be applicable to the mandatory investments made in the Investment Strategy pursuant to the provisions of para 7.13 of SEBI Master Circular dated March 20, 2026, as amended from time to time.</p>		<p>fulfilment of above, Passive redemption shall be permitted at all points of time.</p> <p>The Minimum Application and redemption amount mentioned above shall not be applicable to the mandatory investments made in the Investment Strategy pursuant to the provisions of para 67.13 of SEBI Master Circular dated March 20, 2026.</p>
<p><b>Notice Period</b></p>	<p>Currently, there is no notice period. However, AMC may implement notice period in the following manner:</p> <p>In case of notice period, the redeeming investor shall receive the value of units sold based on the fund's NAV at the end of the notice period. The maximum duration of notice period shall not exceed 15 working days.</p>		

<b>Dispatch of Repurchase (Redemption) Request</b>	<p>Timeline for</p> <ul style="list-style-type: none"> <li>• Dispatch of redemption proceeds: within 3 working days from the date of redemption</li> <li>• Dispatch of IDCW (if applicable) etc.: within 7 working days from the record date</li> </ul>
<b>Benchmark Index</b>	<p>The Tier 1 Benchmark of the Investment Strategy is NIFTY 50 Hybrid Composite Debt 50:50 Index.</p> <p><b><u>Rationale for adoption of benchmark:</u></b></p> <p>The NIFTY 50 Hybrid Composite Debt 50:50 Index has been chosen as the benchmark of the Investment Strategy. The Investment Strategy intends to participate predominantly in arbitrage opportunities/hedged strategies and debt and money market instruments along with limited exposure to unhedged equity and therefore, will be in line with the broad construct of the Benchmark Index. Hence, the performance will be compared with this Index. The performance will be benchmarked to the Total Returns Variant of the Index.</p> <p>The Trustees may change the benchmark in future if a benchmark better suited to the investment objective of the Investment Strategy is available.</p>
<b>Dividend Policy (IDCW)</b>	<p>The IDCW warrants shall be dispatched to the unit holders within 7 working days from the record date.</p> <p>In case of Unit Holder having a bank account with certain banks with which the Specialized Investment Fund would have made arrangements from time to time, the IDCW proceeds shall be directly credited to their account.</p> <p>The IDCW will be paid by warrant and payments will be made in favor of the Unit holder (registered holder of the Units or, if there is more than one registered holder, only to the first registered holder) with bank account number furnished to the Specialized Investment Fund (please note that it is mandatory for the Unit holders to provide the Bank account details as per the directives of SEBI).</p> <p>Further, the IDCW proceeds may be paid by way of ECS/EFT/NEFT/RTGS/any other manner through which the investor's bank account specified in the Registrar &amp; Transfer Agent's records is credited with the IDCW proceeds as per the instructions of the Unit holders.</p> <p>In case the delay is beyond seven working days, then the AMC shall pay interest @ 15% p.a. from the expiry of seven working days till the date of dispatch of the warrant.</p>
<b>Name of the Fund Manager</b>	Mr. Gaurik Shah
<b>Name of the Trustee Company</b>	Mirae Asset Trustee Company Private Limited
<b>Performance of the Strategy</b>	This Strategy being a new Investment Strategy does not have any performance track record

<b>Expenses of the Investment Strategy</b>	During New Fund Offer Period and Continuous Offer														
<b>Load Structure</b>	<p>Exit Load:</p> <p>-If redeemed within 90 days from the date of allotment: 1% -If redeemed after 90 days from the date of allotment: NIL</p> <p>The Trustees shall have a right to prescribe or modify the exit load structure with prospective effect subject to the maximum prescribed under the Regulations.</p>														
<b>Recurring expenses</b>	<p>These are the fees and expenses for operating the investment strategy. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table below:</p> <p>The AMC has estimated maximum Base expense ratio (BER) of 2.10% of the daily net assets of the investment strategy will be charged to the investment strategy as expenses. As per the Regulations, the maximum recurring expenses including investment management and advisory fee that can be charged to the investment strategy shall be subject to a percentage limit of daily net assets as in the table below:</p>														
<table border="1"> <tr> <td><b>First Rs. 500 crores</b></td> <td>2.10%</td> </tr> <tr> <td><b>Next Rs. 250 crores</b></td> <td>1.90%</td> </tr> <tr> <td><b>Next Rs. 1250 crores</b></td> <td>1.60%</td> </tr> <tr> <td><b>Next Rs. 3000 crores</b></td> <td>1.50%</td> </tr> <tr> <td><b>Next Rs. 5000 crores</b></td> <td>1.40%</td> </tr> <tr> <td><b>on the next Rs. 40,000 crores of the daily net assets</b></td> <td>Expense ratio reduction of 0.05% for every increase of Rs.5,000 crores of daily net assets or part thereof</td> </tr> <tr> <td><b>Balance of assets</b></td> <td>0.95%</td> </tr> </table>	<b>First Rs. 500 crores</b>	2.10%	<b>Next Rs. 250 crores</b>	1.90%	<b>Next Rs. 1250 crores</b>	1.60%	<b>Next Rs. 3000 crores</b>	1.50%	<b>Next Rs. 5000 crores</b>	1.40%	<b>on the next Rs. 40,000 crores of the daily net assets</b>	Expense ratio reduction of 0.05% for every increase of Rs.5,000 crores of daily net assets or part thereof	<b>Balance of assets</b>	0.95%	
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<b>Balance of assets</b>	0.95%														
<p>For the actual current expenses being charged, the investor should refer to the website of the Platinum SIF <a href="http://platinumsif.miraeassetmf.co.in">platinumsif.miraeassetmf.co.in</a>.</p>	<p>The recurring expenses of operating the investment strategy on an annual basis, which shall be charged to the investment strategy, are estimated to be as follows (each as a percentage per annum of the daily net assets)</p>														
<table border="1"> <thead> <tr> <th><b>Particulars</b></th> <th><b>% p.a. of daily net assets* (Estimated p.a.)</b></th> </tr> </thead> <tbody> <tr> <td>Investment Management &amp; Advisory Fee</td> <td rowspan="8">Up to 2.10%</td> </tr> <tr> <td>Audit fees/fees and expenses of trustees</td> </tr> <tr> <td>Custodial Fees</td> </tr> <tr> <td>Registrar &amp; Transfer Agent Fees including cost of providing account statements / IDCW / redemption cheques/ warrants</td> </tr> <tr> <td>Marketing &amp; Selling Expenses including fees, commission and charges towards distribution of Strategy</td> </tr> <tr> <td>Costs related to investor communications</td> </tr> <tr> <td>Costs of fund transfer from location to location</td> </tr> <tr> <td>Cost towards investor education, awareness and financial</td> </tr> </tbody> </table>	<b>Particulars</b>	<b>% p.a. of daily net assets* (Estimated p.a.)</b>	Investment Management & Advisory Fee	Up to 2.10%	Audit fees/fees and expenses of trustees	Custodial Fees	Registrar & Transfer Agent Fees including cost of providing account statements / IDCW / redemption cheques/ warrants	Marketing & Selling Expenses including fees, commission and charges towards distribution of Strategy	Costs related to investor communications	Costs of fund transfer from location to location	Cost towards investor education, awareness and financial				
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inclusion <sup>&amp;</sup>	
Brokerage & transaction cost incurred towards execution of trades	
Cost of statutory advertisements	
Other Expenses as may be specified or approved by SEBI*	
<b>Maximum base expense ratio (TER) permissible under Regulation 66 (7)</b>	
Statutory levies (including GST) on all expenses excluding brokerage and transaction cost	As applicable
Statutory levies (including GST) on brokerage and transaction cost	As applicable
<b>Total expense ratio</b>	Up to 2.10% + statutory levies (as applicable)

\*Other expenses: Any other expenses which are directly attributable to the Investment Strategy, may be charged with approval of the Trustee within the overall limits as specified in the Regulations except those expenses which are specifically prohibited.

<sup>&</sup> Investor education and awareness initiative fees of at least 2 basis points on daily net assets of respective Strategy.

Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc. and no commission for distribution of Units will be paid / charged under Direct Plan. The BER of the Direct Plan will be lower to the extent of the abovementioned distribution expenses/ commission which is charged in the Regular Plan.

The purpose of the above table is to assist the investor in understanding the various costs & expenses that the investor in the Investment Strategy will bear directly or indirectly. These estimates have been made in good faith as per the information available to the AMC and the above expenses (including investment management and advisory fees) are subject to inter-se change and may increase/decrease as per actual and/or any change in the Regulations, as amended from time to time.

**Additional expenses under Regulation 66:**

In addition to the limits as specified in Regulation 66(7) of SEBI (Mutual Funds) Regulations, 2026 [‘SEBI Regulations’] or the Recurring Expenses (Base Expense Limit) as specified above, the following costs or expenses may be charged to the Investment Strategy namely: -

1. Brokerage cost incurred for the purpose of execution shall be charged to the Investment Strategy, up to 0.06 % of trade value in case of cash market transactions and 0.02 % of trade value in case of derivatives transactions. Any payment towards brokerage & transaction costs, over and above the said limits for cash market transactions and derivatives transactions respectively may be charged to the Investment Strategy within the maximum limit of Base Expense Ratio (BER) as prescribed under Regulation 66 of the SEBI (Mutual Funds) Regulations, 2026.
2. Transaction cost incurred for the purpose of execution of a trade shall mean regulatory levies and any other expenses charged by the stock exchanges, clearing

corporation, and clearing house, as applicable. Such transaction costs do not form part of the base expense ratio.

3. The total expenses charged to the Investment Strategy shall not exceed the limits stated in Regulation 66 of the SEBI (Mutual Funds) Regulations, 2026 and as permitted under SEBI circulars issued from time to time.
4. All Statutory levies imposed by state government and central government shall be charged to the Investment Strategy in addition to the maximum limit of BER as prescribed in Regulation 66(7)
5. Further, in accordance with paragraph 11.6 of the SEBI Master Circular dated March 20, 2026, the AMC shall be authorized and permitted to provide additional incentives to empanelled distributors for onboarding new individual investors (new PAN) from B-30 cities at the SIF level and new women individual investors (new PAN) from both top 30 and B-30 cities. In this regard, the additional commission required to be paid to concerned distributors by the AMC, shall be as follows:

Investment mode	Commission Structure
Lump sum investment	1% of the amount of the first application, subject to maximum of INR 2,000, provided that the investor remains invested for a minimum period of 1 (one) year.
Systematic Investment Plan (SIP)	1% of the total investment made during the first year, subject to maximum of INR 2,000.

The aforementioned additional distribution commission shall be paid out of the 2 bps on daily net assets mandated to be set apart annually by the AMC for investor education, awareness and financial inclusion initiatives subject to adequate clawback provisions and the additional distribution shall be in addition to the trail commission to be paid to the distributors from the concerned Investment Strategy.

All Investment Strategy related expenses including commission paid to distributors, by whatever name it may be called and in whatever manner it may be paid, shall necessarily be paid from the Investment Strategy only within the regulatory limits and not from the books of the Asset Management Companies (AMC), its associate, sponsor, trustee or any other entity through any route.

The current expense ratios will be updated on the AMC website [platinumsif.miraecassetmf.co.in](http://platinumsif.miraecassetmf.co.in) at least 3 working days prior to the effective date of the change.

Further, the notice of change in base BER (i.e. as provided in Regulation 66(7) of SEBI (Mutual Funds) Regulations, 2026) in comparison to previous base BER charged to the Investment Strategy will be communicated to investors of the Investment Strategy through notice via email or SMS at least three working days prior to effecting such change.

However, any decrease in BER in a Investment Strategy due to various other regulatory requirements shall not require issuance of any prior notice to the investors. Further, such decrease in TER will be immediately communicated to investors of the Investment Strategy through email or SMS and uploaded on the AMC website.

The above change in the BER in comparison to previous BER charged to the Investment Strategy shall be intimated to the Board of AMC along with the rationale recorded in writing.

The changes in BER shall also be placed before the Trustees on quarterly basis along with rationale for such changes.

The current TER of the Investment Strategy, along with a downloadable spreadsheet detailing the expense structure, is available on the SIF website at [platinumsif.miraeassetmf.co.in](http://platinumsif.miraeassetmf.co.in) under the “TER” section and on AMFI’s website at [www.amfiindia.com](http://www.amfiindia.com).

**Illustration of impact of expense ratio on investment strategy’s returns (by providing simple example)**

Particulars		Regular Plan	Direct Plan
Opening NAV per unit	A	10.0000	10.0000
Gross Investment Strategy Returns @ 8.75%	B	0.8750	0.8750
Expense Ratio @ 1.50 % p.a.	C = (A x 1.50%)	0.1500	0.1500
Distribution Expense Ratio @ 0.25 % p.a. *	D = (A x 0.25%)	0.0250	0.0000
Total Expenses	E = C + D	0.1750	0.1500
Closing NAV per unit	F = A + B - E	10.7000	10.7250
Net 1 Year Return	F/A - 1	<b>7.00%</b>	<b>7.25%</b>

\*Distribution/Brokerage expense is not levied in direct plan

The above calculation is provided to illustrate the impact of expenses on the investment strategy returns and should not be construed as indicative Expense Ratio, yield or return.

**Additional Investment Strategy Related Disclosures**

This is a new Strategy and therefore, the requirement of following additional disclosures shall not be applicable for the Strategy:

1. Investment Strategy’s portfolio holdings (top 10 holdings by issuer and fund allocation towards various sectors are available on functional website link: Not Applicable since this is a new Investment Strategy;
2. Functional website link to the respective addendums to the ISID after the last update of ISID - Not Applicable since this is a new Investment Strategy
3. Functional website link for Portfolio Disclosure: Not Applicable since this is a new Investment Strategy;
4. Portfolio Turnover Ratio: Not Applicable since this is a new Investment Strategy;

**Daily Net Asset Value (NAV) Publication**

The AMC will calculate and disclose the first NAV under the Investment Strategy not later than 5 Business Days from the date of allotment of units under the NFO Period. Subsequently, the NAV will be calculated and disclosed for every Business Day. SIF/AMC will provide facility of sending latest available NAVs to unitholders through SMS, upon receiving a specific request in this regard. NAV of the Units of the Investment Strategy (including options thereunder) calculated in the manner provided in this SID or as may be prescribed by the Regulations from time to time.

The NAV will be computed upto 3 decimal places.

NAVs will be disclosed at the close of each business day. NAV of the Units of the Investment Strategy (including options there under) calculated in the manner provided

	<p>in this SID or as may be prescribed by the Regulations from time to time.</p> <p>In accordance with Chapter 9 of SEBI Master Circular dated March 20, 2026, the NAV of the investment strategy shall be uploaded on the websites of the AMC (miraeassetmf.co.in) and Association of Mutual Funds in India (www.amfiindia.com) by 11.00 p.m. on every business day. In case of any delay, the reasons for such delay would be explained to AMFI and SEBI by the next day. If the NAVs are not available before commencement of business hours on the following day due to any reason, the Fund shall issue a press release providing reasons and explaining when the Fund would be able to publish the NAVs.</p> <p>Further Details please refer ISID.</p>
<b>Tax treatment for the Investors (Unitholders)</b>	Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.
<b>For Investor Grievances please contact</b>	<p><b>KFin Technologies Limited</b> Karvy Selenium, Tower B, Plot Number 31 &amp; 32, Financial District, Gachibowli, Hyderabad - 500 034.</p> <p>Contact Details for general service requests and complaint resolution:</p> <p><b>Ms. Venuka Amla</b> Mirae Asset Investment Managers (India) Pvt. Ltd. 606, 6<sup>th</sup> Floor, Windsor Bldg, Off CST Road, Kalina, Santacruz East, Mumbai - 400 098. Telephone Nos.: 6780 0300 e-mail: <a href="mailto:customercare@miraeasset.com">customercare@miraeasset.com</a></p> <p><b>Investors may contact any of the ISCs or the AMC by calling the investor line of the AMC “1800 2090 77” or visit the website at <a href="http://platinumsif.miraeassetmf.co.in">platinumsif.miraeassetmf.co.in</a> for complete details.</b></p>
<b>Unitholders’ Information</b>	<p>The AMC shall send an allotment confirmation specifying the units allotted by way of email and/or SMS within 5 working days of receipt of valid application/transaction to the Unit holders registered e-mail address and/ or mobile number (whether units are held in demat mode or in account statement form).</p> <p>A Consolidated Account Statement (CAS) detailing all the transactions across all investment strategies and holding at the end of the month shall be sent to the Unit holders in whose folio(s) transaction(s) have taken place during the month by mail or email on or before 15th of the succeeding month.</p> <p>Half-yearly CAS shall be issued at the end of every six months (i.e. September/ March) on or before 21st day of succeeding month, to all investors providing the prescribed details across all investment strategies of specialized investment funds and securities held in dematerialized form across demat accounts, if applicable</p> <p>For further details, refer SAI.</p> <p><b>Portfolio disclosure:</b></p> <p>The SIF shall disclose portfolio (along with ISIN), including derivative instruments, as on the last day of every alternate month (i.e. as on the end of May, July, September, November, January and March) for all its investment strategies (including debt based</p>

investment strategies) on the respective AMC website and on the website of AMFI within 10 days from the close of such month in a user friendly and downloadable spreadsheet format.

**Half yearly Disclosures: Financial Results**

The AMC/ Specialized Investment Fund shall within one month from the close of each half year, that is on March 31st and on September 30th, host a soft copy of its unaudited financial results on their website [platinumsif.miraeassetmf.co.in](http://platinumsif.miraeassetmf.co.in). The half-yearly unaudited financial results shall contain details as specified in Regulation 70 sub regulation 2 of the SEBI (Mutual Funds) Regulations, 2026 and such other details as are necessary for the purpose of providing a true and fair view of the operations of Platinum SIF.

The AMC/ Specialized Investment Fund shall publish an advertisement disclosing the hosting of unaudited financial results on their website [platinumsif.miraeassetmf.co.in](http://platinumsif.miraeassetmf.co.in) & on AMFI Website

The AMC will provide a physical copy of the statement of its Investment Strategy portfolio, without charging any cost, on specific request received from a unitholder.

**Annual Report**

Pursuant to Regulation 68 & 70 of SEBI (Mutual Funds) Regulations, 2026 read with Para 6.4 of SEBI Master Circular dated March 20, 2026, the investment strategy wise annual report or abridged summary thereof will be hosted on the website of the SIF viz. [platinumsif.miraeassetmf.co.in](http://platinumsif.miraeassetmf.co.in) and on the website of AMFI, not later than four months after the close of each financial year (31st March). The AMCs shall display the link prominently on the website of the SIF viz. [platinumsif.miraeassetmf.co.in](http://platinumsif.miraeassetmf.co.in) and make the physical copies available to the unitholders, at their registered offices at all times. The unit holders may request for a physical copy of investment strategy annual reports at a price and the text of the relevant investment strategy by writing to the Mirae Asset Investment Managers (India) Pvt Ltd. / Investor Service Centre / Registrar & Transfer Agents. The Specialized Investment Fund / AMC shall provide a physical copy of abridged report of the annual report, without charging any cost, on specific request received from a unit holder. An advertisement shall be published every year disclosing the hosting of the investment strategy wise annual report on website of Platinum SIF and on the website of AMFI and the modes such as SMS, telephone, email or written request (letter) through which a unitholder can submit a request for a physical or electronic copy of the investment strategy wise annual report or abridged summary thereof.

**Date-April 28, 2026**







**Nominee Name option to be printed on Statement of Account** (Mandatory)

I/We want the details of my/our Nominee to be printed in the Statement of Holding / SOA, provided to me/us by the AMC/DP as follows; (Please tick as appropriate)

Name of the Nominee(s)       Nomination: Yes/No ^

^ If there is no option ticked, default will Nomination: Yes/No reflected in the statement of account.

\* If the account holder affixes thumb impression, instead of wet signature. Signature of two witness(es), along with name and address are required.

	Name & Address	Signature
Witness 1		
Witness 2		

**14 FATCA & CRS INFORMATION For Individual Investors including Sole Proprietor (Non Individual Investors should mandatorily fill separate Fatca and UBO form)**

The below information is required for all applicant(s)/ guardian

Address Type:  Residential or Business     Residential     Business     Registered Office (for address mentioned in form/existing address appearing in Folio)

Category	First Applicant/Guardian in case of Minor	Second Applicant/ Guardian	Third Applicant
Place/ City of Birth			
Country of Birth			
Country of Tax Residency#			
Is the applicant(s)/guardian's Country of Birth/Citizenship/ Nationality/Tax Residency other than India? Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below*.	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

If Yes, please provide the following information [mandatory]

Category	First Applicant/Guardian in case of Minor	Second Applicant/ Guardian	Third Applicant
Tax Payer Ref. ID No^			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 2			
Tax Payer Ref. ID No. 2			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 3			
Tax Payer Ref. ID No. 3			
Identification Type [TIN or other, please specify]			

#To also include USA, where the individual is a citizen/ green card holder of USA. ^In case Tax Identification Number is not available, kindly provide its functional equivalent.

**Declaratin for Investment:**

To The Trustees, Platinum SIF – (A) Having read and understood the contents of the ISID (Investment Strategy information document) available during the New Fund Offer period; I/We hereby apply for units of the said such Investment Strategy and agree to abide by the terms, conditions, rules and regulations governing the Investment Strategy. (B) I/We hereby declare that the amount invested in the Investment Strategy is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any provisions of the Income Tax Act, Anti Money Laundering Laws or any other applicable laws enacted by the Government of India from time to time. (C) Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Mirae Asset Platinum SIF. (D) The information given in / with this application form is true and correct and further agrees to furnish additional information sought by Mirae Asset Investment Managers (India) Private Limited (AMC) / Fund and undertake to update the information/details with the AMC / Fund/Registrars and Transfer Agent (RTA) from time to time. I/We hereby confirm that the AMC/Fund shall have the right to share my information and other details with the regulatory and government authorities as and when needed. I/We will indemnify the Fund, AMC, Trustee, RTA and other intermediaries in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (E) I/We further declare that "The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Investment Strategy of various Platinum SIF from amongst which the Investment Strategy is being recommended to me/us. (F) I/We hereby confirm that I/We have not been offered/communicated any indicative portfolio and/ or any indicative yield by the Fund/AMC/its distributor for this investment. I/We have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. (G) Applicable to Investors availing the online facility: I/We have read, understood and shall be bound by the terms & conditions of the PIN agreement available on the AMC website for transacting online. (H) RIA: I/We hereby agree to consent the AMC to share my transaction details to the registered investment advisor (RIA) through the registrar or otherwise. (I) Applicable to Foreign Resident's Residing in India:- I/ We confirm that I/We satisfy the Residency test as prescribed under FEMA provisions. I/We further declare that I/We am/are "Person Resident in India" and are allowed to invest into the Investment Strategy as per the said FEMA regulations and other applicable laws and regulations. (J) I / We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Investment Strategy(s). (K) FATCA/CRS Certification: I / We have understood the information requirements of this Form (read along with the FATCA& CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA& CRS Terms and Conditions and hereby accept the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future & also undertake to provide any other additional information as may be required at your end. (L) Aadhaar: I/We hereby voluntarily submit Aadhar card to the Fund/AMC for updating the same in my folio.

SIGNATURE	SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT	DATE : ____ / ____ / ____
				PLACE _____

ACKNOWLEDGMENT SLIP Received Application from Mr. / Ms. / M/s. \_\_\_\_\_ For  Lumpsum 'OR'  SIP \_\_\_\_\_ as per details below:

Investment strategy and Plan	Payment Details	Date & Stamp of Collection Centre / ISC
	Amount (Rs) _____ Cheque/ DD No.: _____ Dated _____ Bank & Branch _____	

Cheque / DD is subject to realisation

# INSTRUCTIONS

Please read the Key Information Memorandum (KIM) and the terms of the Investment Strategy Information Document (ISID) and Statement of Additional Information (SAI) of the Investment Strategy carefully before investing I filing the application form. All investors I applicants are deemed to have read, understood and accepted the terms, subject to which the offers are being made and bind themselves to the terms upon signing the Application Form and tendering payment.

## 1. General Instructions

(a) The application form should be completed in ENGLISH in BLOCK LETTERS only. CAF complete in all respects, may be submitted at the designated Investor Services Centers (ISC)/Official Point of acceptance. (b) Investors must write the Application Form number/Folio number on the reverse of the cheques and bank drafts accompanying the CAF. (c) Please strike out any section that is not applicable. Any cancellation and modification on any of the mandatory information should be countersigned (d) Please refer to the checklist at the end of these notes to ensure that the requisite (IIs and documents have been provided in order to avoid unnecessary delays and/or rejection of your application, (e) if the Investment Strategy name on the application form and on the payment instrument are different, the application may be processed and units allotted at applicable NAV of the Investment Strategy mentioned in the application/transaction slip duly signed by investor(s) (1) Applications incomplete in any respect (other than mentioned above) will be liable to be rejected

## 2. Applicant Information

(a) Name and address shall be given in full without any abbreviations. In case the Investor is an NRI/FII, an overseas address must be provided (mandatory). A local address if available should also be mentioned in the CAF. (b) Name of the guardian must be mentioned if the investments are being made on behalf of a minor. Guardian of the minor must be either a natural guardian or a Court appointed guardian. Date of birth is mandatory for minors and has to be supported with Age proof. (c) Name of the contact person, e-mail and telephone number should be mentioned in case of investments by a Company, Body Corporate, Trust, Partnership, Society, FII and other eligible non-individual applicants. Any change in the status of any Authorized Signatory should be promptly intimated to the AMC. Incomplete application forms are liable to be rejected. (d) **KYC Requirements and Details:**

**Implementation of Central KYC (CKYC):** The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC records in digital form.

### Non Individual Investors:

CKYC is currently not applicable for Non-Individual Investors. All new Non Individual Investors will continue with the old KRA KYC form. Details of net worth are mandatory for Non Individual applicants. Details of net worth shall be of a date which is within one year of the application. Non Individual Applicants, not being a company that is listed on any recognized stock exchange or is a subsidiary of such listed or is controlled by such listed Company, are also required to fill in details of ultimate beneficial ownership in section 11(a) and 11(b) of the common application Form.

### Individual Investors:

(i) New individual investors who have never done KYC under KRA (KYC Registration Agency) regime and whose KYC is not registered or verified in the KRA system will be required to fill the new CKYC form while investing with the Fund. (ii) If any new individual investor uses the old KRA KYC form, then such investor will be required to either fill the new CKYC form or provide the missing/additional information using the Supplementary CKYC form. (iii) Investors who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC platform can invest in schemes of the Fund quoting their designated KIN issued by CKYC on the application form (14 digits for normal accounts and 15 digits for simplified and small accounts). Further, in case the investor's PAN is not updated in CKYC system, a self-certified copy of PAN Card shall be mandatory. Further, the AMC/ Mutual Fund shall use the KIN of the investors to download the KYC information from CKYC and update its records as and when required. The CKYC form and Supplementary CKYC form for individual investors and common application form are available on our website. Currently there is no impact on the Existing Investors who have done the SEBI KYC (KYC thru 5 KRAs, CVL, NDML, DOTEX, KARVY & CAMS). They can continue to invest as it is in any schemes of any Mutual Fund; Existing Investors who wishes to onboard themselves on the CKYC platform will need to again do the entire KYC process just like New Investor and get the KIN which can be used across. (e) Rejection: In case of non-compliance of any C-KYC requirements, Applications shall liable to be rejected without any intimation to the applicants. Any Change in Address for all KYC compliant Investors has to be routed through KRA and that direct application to AMC will be not processed/rejected. In case if the applications are rejected after detailed scrutiny and verification, either at the collection point itself or subsequently by the back office of the registrars for any reason, investors can contact the nearest Investor Service Centre or write to the Registrars, Kfin technologies Ltd. or send an email to customercare@miraasset.com. (f) (i) All the applicants must sign in original on the application form. Signatures should be in English or in any Indian language. Thumb impressions should be from the left hand for males and the right hand for females and in all cases be attested by a Magistrate, Notary Public or Special Executive Magistrate. In case of an HUF, the Karta will sign on behalf of the HUF. (ii) In case the application is under a power of Attorney (POA), a duly certified copy thereof duly notarized should be submitted with the application. The POA document should contain the signature of both the applicant and the constituted Attorney. (iii) Applications made by a Limited Company or a Body Corporate or a registered Society or Trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the MOA and AOA or Trust deed/Bye laws/Partnership deed, whichever is applicable. Refer to document check list.

## 3. Bank Account Details:

It is mandatory for the Sole/First Applicant to mention his/her bank account number in the CAF. CAF received without the relevant bank details will be rejected. The AMC may provide direct credit facility with the banks as may be available from time to time. Investor(s) are requested to note that for all Change of Bank details (COB) the investors must submit in original any one of the following documents of the new bank account:

a. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. b. Self-attested copy of bank statement. c. Bank passbook with current entries not older than 3 months. d. Bank Letter duly signed by branch manager/authorized personnel. The AMC may also collect proof of Old Bank details while effecting the Change of Bank "Mandate. There shall be a cooling period of 10 calendar days for validation and registration of new bank account. In case of receipt of redemption request during this cooling period, the validation of new Bank mandate and dispatch of redemption proceeds shall be completed within 10 working days to the new bank account; however, the AMC reserves the right to process the redemption request in the old bank mandate, if the credentials of the new bank mandate cannot be authenticated. Any COB accompanied with any other transaction is liable to be rejected. If unit holder(s) provide a new and unregistered bank mandate or a change of bank mandate request with specific redemption/Payout of Income Distribution cum capital withdrawal option payment request (with or without necessary supporting documents) such bank account may not be considered for payment or redemption/Payout of Income Distribution cum capital withdrawal option proceeds, or the Fund may withhold the payment for upto 10 calendar days to ensure validation of new bank mandate mentioned. b. Indian Financial System Code (IFSC): Investors are requested to mention the IFSC while submitting any bank details update request to help facilitate the payouts seamlessly through the electronic route. IFSC is an 11 digit number given by the banks on the cheques.

## 4. Multiple Bank Accounts Registration Facility:

The unitholder may register more than one bank account through the "Multiple Bank Accounts Registration Facility", to receive redemption/Payout of Income Distribution cum capital withdrawal option proceeds. The unitholder may choose to receive the proceeds in any of the bank accounts, the details of which will be registered under the folio. For the purpose of registration of bank account(s), the investors must submit in original any one of the following documents of the new bank account:

(a) Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque. (b) Self-attested copy of bank statement. (c) Bank passbook with current entries not older than 3 months. (d) Bank Letter duly signed by Branch Manager/Authorized personnel. If photocopies of the above stated documents are submitted, investor must produce the original for verification at the official point of acceptance of transaction. The original shall be returned to the investor over the counter upon verification. If the originals are not produced for verification, then the photocopies submitted should be attested in original by the Branch Manager or Authorised personnel of the Bank.

## 5. Direct Credit of Redemption/Payout of Income Distribution cum capital withdrawal option Proceeds:

Investors can opt for direct credit of the redemption proceeds to their bank accounts (Direct Credit / RTGS / NEFT). The AMC / MF reserve the right to use any other mode of payment as deemed appropriate, however the preferred mode will always be NEFT/RTGS.

## 6. Investment Details:

a) Resident Investors may make payment by cheque payable locally in the city where the application form is submitted at the local Mirae Asset Platinum SIF (MAMF)/AMC office or Authorised Collection Centre(s). b) Please mention the application serial number on the reverse of the cheque/demand draft tendered with the CAF. The cheque should be drawn in favor of respective Investment Strategy name. Non MICR/ Outstation Cheques/Money Orders/Post Dated Cheques or Cash is not permitted. Investors residing in Centres, where the Investors Service Centres (ISCs)/Authorised Collection Centre(s) of SIF platinum are not located, are requested to make payment by demand drafts payable at the Centre where the application is to be lodged. D.D. charges would be borne by the AMC only for the investors residing at places which are not covered by our offices/authorised centres. The maximum charges so borne by the AMC would be restricted to limits as prescribed by State Bank of India. Please refer SAI for complete details on D.D. charges. c) In case the payment is made through Indian Rupee draft purchased abroad from FCNR or NRE A/C, Account Debit certificate from the Bank issuing the draft, confirming the debit should be submitted.

For subscription made by NRE/FCNR Account cheques, the CAF must be accompanied with a photocopy of the cheque or Account debit Letter/certificate from the bankers. FIRC certificate is required to be submitted evidencing source of funds through Non Domestic Account. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s). In case the source of funds through Non Domestic Account is not validated/provided, AMC will not be in a position to repatriate redemption proceeds.

d) Applicants should indicate the Option (Payout of Income Distribution cum capital withdrawal option/Growth) for which the application is made. In absence of information the request would be processed under the default option as mentioned in the SID/SAI of the relevant scheme.

For Direct Investments, please mention "Direct" in the column "Broker / Agent Code".

e) **Third Party Cheque/Funds Transfer will not be allowed for Investment subscriptions** except in the following cases:  
• Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor, parent or legal guardian of the minor, or from a joint account of the minor with parent or legal guardian.  
• Payment by employer on behalf of employee under Systematic Investment Plan (SIP) facility through payroll deductions  
• Custodian on behalf of an FII or a Client.

f) **Physical Copies:** Investors who requires physicals copies kindly reach us through email id: [SIF@miraasset.com](mailto:SIF@miraasset.com)  
**Toll Free Number : 1800-2090-777.**

## 7. Communication:

The investor whose transaction has been accepted by the Platinum SIF shall receive a confirmation by way of email and/or SMS within 5 Business Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure:

- Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.
- Half-yearly CAS shall be issued at the end of every six months (i.e. September/ March) on or before 21st day of succeeding month, to all investors providing the prescribed details across all investment strategies of specialized investment funds and securities held in dematerialized form across demat accounts, if applicable
- In case there is no transaction in any of the Platinum SIF folios then CAS detailing holding of investments across all Investment Strategy will be issued on half yearly basis [at the end of every six months (i.e. September/March)]
- Investors having Platinum SIF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Investment Strategy and securities from the Depository by email/physical mode.
- Annual Reports or other information etc.. may be sent to unit holders by email. Investors can choose to receive e-mail communication from us in lieu of printed documents, when a unit holder has communicated his/her email address and has provided consent for sending communication only via e-mail. Investor(s) who have provided their email address in the application form or any subsequent communication in any of the folio belonging to the investor, Electronic Mail (email) shall be treated as a default mode for sending various statutory communications including Abridged Annual Report to the investor. However, the unit holder always has the right to request a physical copy of any statutory communication and the AMC will arrange for the same to be sent to the unit holder. The AMC/Mutual Fund/Registrars & Transfer agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor needs to intimate the Fund/its transfer agents about any changes in the email address from time to time.

8. **Accredited Investor:** - Accredited Investor shall have the same meaning as assigned to it in clause (ab) of sub-regulation (1) of regulation 2 of the Securities and Exchange Board of India (Alternative Investment Funds) Regulations, 2012.

## 9. Payment of commission and load structure:

No entry load will be charged by the Scheme to the investor. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder. Investors should note the following instructions for ensuring that the application is treated as a direct application:

- Broker code, if already printed on the forms must be struck off and countersigned by the investors.
- Ensure that the broker code block in the form is not left blank (i.e. it should be either struck off or indicated 'direct' or NA). However, if the investor does not specify the application as "Direct" or otherwise, then the AMC treats such applications as "Direct" in the interest of the investors.

## 10. Employee Unique Identification Number (EUIIN):

In order to assist in addressing any instance of mis-selling at any point of time, it is regulatory for every employee/relationship manager/sales person of the distributor/broker (interacting with the investor for the sale of Mutual Fund products) of mutual fund products to quote the EUIIN (for non-advisory transactions ('execution only') & advisory transactions) obtained from AMFI in the CAF. The EUIIN is a 7 digit unique alpha numeric number (one alphabet and six numerals). Individual ARN holders including senior citizens are also required to obtain and quote EUIIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIIN is correctly filled up in the Application Form. It is further clarified that a mere quoting of EUIIN will not give an "advisory" character to the transaction. However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the declaration separately signed by the investor, as mentioned on the top of the application form(s).

11. **Units in Demat mode:** All the units of the fund will be held in Dematerialized ("Demat") Form, the statement of holding of the beneficiary account holder will be sent by the respective Depository Participant periodically. Unit holders must provide their Demat Account details in the specified section of the CAF. In order to hold the units in Demat form, unitholders shall have a beneficiary account with the Depository Participant (DP) (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the CAF the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP. Applicants must ensure that the sequence of names and other details like Client ID, Address and PAN details as mentioned in the application form matches that of the account held with the DP. Only those applications where the details are matched with the DP data will be treated as valid applications. If the details mentioned in the application are incomplete/incorrect, not matched with the DP data, the application shall be treated as invalid and shall be liable to be rejected. Unit Holders opting the units in the demat mode, can submit redemption/switch only through DP or through stock exchange platform. In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form.

12. The US Department of the Treasury and the US Internal Revenue Service (IRS) has introduced the Foreign Account Tax Compliance Act (FATCA), effective July 01, 2014. The purpose of FATCA is to report financial assets owned by United States persons to the US tax authorities. Accordingly, AMC may be required to report information relating to the folios of the investors to the authority established by the Government of India for its submission to US authorities. AMC reserves the right to seek additional information / documents sought for FATCA details in the CAF for the disclosure and reporting of any tax related information obtained or held by the fund to any local or foreign regulatory or tax authority ("Tax Authority"). Upon request by the fund, investor hereby agrees to provide necessary information and permits the fund to disclose and report tax and account specific financial information to any local or foreign Tax authority. The potential consequences for failure to comply with requests for tax information disclosure include, but are not limited to: (a) Fund has the right to carry out actions which are necessary to comply with the local or foreign tax reporting obligations; (b) Fund has the ability to withhold taxes that may be due from certain payments made to the investor's account; (c) Fund has the right to pay relevant taxes to the appropriate tax authority; (d) Fund has the right to refuse to provide certain services; and (e) Fund has the discretion to close investor accounts. The investor agrees to inform, or respond to any request from, the fund, if there are any changes to tax information previously provided.

13. All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with the investment request. The india's are to identify a US Person as defined under the Laws of the United States of America. The absence of completed documentation may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by the regulatory authorities.

The identification of US person will be based on one or more of the following US indicia:- Identification of the investor as US citizen or resident (1) US is the place of birth or country of incorporation (2) Having US telephone number (3) Having any residence / mailing address / 'Clo address' / hold mail address / PO Box address in the US (4) Having Standing instruction to transfer funds to an account maintained in USA (5) Being POA holder based out of US or having US residence / citizenship (6) Paying tax in the US (7) Having Identification Number or any identification that indicates US residence / citizenship (8) Having US beneficiary owners / shareholders (9) The Director / Promoter / Authorised signatory / POA holder of nonindividual investor is based out of US or holds US residence / citizenship.

# INSTRUCTIONS

## 14. Details under FATCA & CRS

As a part of regulatory process, the AMC may seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders and will report to tax authorities / appointed agencies/institutions such as withholding agents should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

The investor may receive more than one request for information if you have multiple relationships with the AMC or its group entities. Kindly respond to all our requests, even if you have already supplied any previously requested information. For any queries about your tax residency, kindly contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

#It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

**Financial Institution (FI):** The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined under FATCA guidelines.

**Non-Financial Entity (NFE):** Types of NFEs that are regarded as excluded NFE are:

- Publicly traded company (listed company): A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange).
- Related entity of a publicly traded company: The NFE is a related entity of an entity of which is regularly traded on an established securities market.
- Active NFE : (is any one of the following):

Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfills all of the following requirements: (1) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; (2) It is exempt from income tax in India; (3) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation: For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely: (1) an Investor Protection Fund referred to in clause (23EA); (2) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (3) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;
08	The stock of the entity is regularly traded on an established securities market or the non financial entity is a related entity of the entity, the stock of which is regularly traded on an established securities market.

d. Document Type: Please mention the Code or Document as: "A" Passport; "B" Election ID Card; "C" PAN CARD; "D" Driving License; "E" NREGA Job Card.

e. Exemption code for U.S. person (Refer 114F(9) of Income Tax Rules, 1962 for details).

- An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37).
- The United States or any of its agencies or instrumentalities.
- A state, the District of Columbia, a possession of the United States or any of their political subdivision or instrumentalities.
- A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i).
- A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i).
- A dealer in securities, commodities, or derivative financial instruments (including national principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state.
- A real estate investment trust.
- A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the investment company act of 1940.
- A common trust fund as defined in section 584(a).
- A bank as defined in section 581.
- A broker.
- A trust exempt from tax under section 664 or described in section 4947(a)(1).
- A tax exempt trust under a section 403(b) plan or section 457(g) plan.

**Passive Income includes:** Payout of Income Distribution cum capital withdrawal options; Interest; Income equivalent to interest, Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE; Annuities; excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income; excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets; excess of foreign currency gains over foreign currency losses; Net income from swaps; Amounts received under cash value insurance contracts. (But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.)

**Passive NFE means:** any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes).

**Direct reporting NFE means:** a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.

**Owner documented FFI:** An FFI meets the following requirements: The FFI is an FFI solely because it is an investment entity; The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company; The FFI does not maintain a financial account for any non participating FFI; The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

15. With effect from January 1, 2014, as per the US Securities Act of 1933, United States Person (U.S. Person), corporations and other entities organized under the applicable laws of the United States (U.S.) and Residents of Canada as defined under the applicable laws of Canada should not invest in units of any of the Schemes of the Fund and should note the following:

- No fresh purchases / additional purchases/switches in any Schemes of the Fund would be allowed. However, existing Unit Holder(s) will be allowed to redeem their units from the Schemes of the Fund. If an existing Unit Holder(s) subsequently becomes a U.S. Person or Resident of Canada, then such Unit Holder(s) will not be able to purchase any additional Units in any of the Scheme of the Fund.
- For transaction from Stock Exchange platform, while transferring units from the broker account to investor account, if the investor has U.S./Canadian address then the transactions would be rejected.
- In case the AMC/MAMF subsequently identifies that the subscription amount is received from U.S. Person(s) or Resident(s) of Canada, in that case the AMC/Fund at its discretion shall redeem all the units held by such person from the Scheme of the Fund at applicable Net Asset Value.

## 16. Ultimate Beneficial Owner (UBO)

Investors (other than Individuals) are required to provide details of UBO(s) and submit POI (viz. PAN with photograph or any other acceptable POI prescribed in common KYC form) of UBO(s). Non-individual applicants/investors are mandated to provide the details on UBO(s)' by filling up the declaration form for UBO. Providing information about beneficial ownership will be applicable to the subscriptions received from all categories of investors except Individuals and a Company listed on a stock exchange or is a majority owned subsidiary of such a Company. In case of any change in the beneficial ownership, the investor should immediately intimate AMC / its Registrar / KRA, as may be applicable, about such changes. Please contact the nearest ISC of MAMF or log on to our website [www.miraassetmf.co.in](http://www.miraassetmf.co.in) for the Declaration Form.

### A A Ultimate Beneficial Owner means:

**I. For Investor other than Trust:** A 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Controlling ownership interest means ownership of / entitlements to: (i) more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company; (ii) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or (iii) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details should be provided of the natural person who is exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner). However, where no natural person is identified, the identity of the relevant natural person who holds the position of senior managing official should be provided.

**ii. For Trust:** The settler of the trust, the trustees, the protector, the beneficiaries with 10% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

**B Applicability for foreign investors:** The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs), their sub-accounts and Multilateral Funding Agencies / Bodies Corporate incorporated outside India with the permission of Government of India / Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.

**C UBO Code Description:** **UBO-1:** Controlling ownership interest of more than 10% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company. **UBO-2:** Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership. **UBO-3:** Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals. **UBO-4:** Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests]. **UBO-5:** Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]. **UBO-6:** The settlor(s) of the trust. **UBO-7:** Trustee(s) of the Trust. **UBO-8:** The Protector(s) of the Trust [if applicable]. **UBO-9:** The beneficiaries with 10% or more interest in the trust if they are natural person(s). **UBO-10:** Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

17. Investors may please note that the primary holders own email address and mobile number should be provided for speed and ease of communication in a convenient and cost effective manner, and to help prevent fraudulent transactions.

**In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on [www.miraassetmf.co.in](http://www.miraassetmf.co.in)).**

**18. LEI (Legal Entity Identifier) Code :** The Legal Entity Identifier (LEI) is a global reference number that uniquely identifies every legal entity or structure that is party to a financial transaction, in any jurisdiction. The Reserve Bank of India has mandated the LEI Number for all payment transactions of value ₹50 crore and above undertaken by entities (non-individuals) for Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

Pursuant to SEBI Circular No. SEBI/HO/IMD/IMD-PoD-1/P/CIR/2025/115 dated August 08, 2025, No transaction charges shall be deducted from the subscription amount for transactions / applications received through the distributors (i.e. in Regular Plan) and full subscription amount will be invested in the Scheme.

19. For details, Please refer ISID - (Investment Strategy Information Document)

**20. Nomination Instruction:**

1. The nomination can be made only by individuals applying for / holding units on their own behalf, singly or jointly.
2. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family (HUF), holder of Power of Attorney cannot nominate. Similarly, nomination cannot be registered in folio's held in the name of the minor/s.
3. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder.
4. Nomination can be done in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
5. A Non-Resident Indian(NRI) can be a Nominee subject to the exchange controls in force, from time to time.
6. Nomination in respect of the units stands rescinded upon (a) transfer of units and (ii) in the event where the death of the nominee precedes the death of the unit holder.
7. The signatories for this nomination form in joint folios / account, shall be the same as that of your joint folio. i.e.
  - a. 'Either or Survivor' Folios / Accounts - any one of the holders can sign.
  - b. 'First holder Folios / Accounts – only First Holder can sign.
  - c. 'Jointly' Folios / Accounts - both holders have to sign
8. Transfer of units in favour of a Nominee shall be valid discharge by the asset management company against the legal heirs.
9. The cancellation of nomination can be made only by those individuals who hold units on their own behalf, singly or jointly and who made the original nomination.
10. On cancellation of the nomination, the nomination shall stand rescinded and the asset management company shall not be under any obligation to transfer the units in favour of the Nominee.
11. Nomination can be made for maximum number of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals making a total of 100%. In the event of the unit holder(s) not indicating the percentage of allocation/share for each of the nominees, the AMC, by invoking default option shall settle the claims equally amongst all the nominees.
12. If the mode of holding is single and the existing nomination (s) is cancelled without any further nomination, it shall be construed as a deemed consent of that investor for not having any nominee in the existing folio.
13. Nomination by a unitholder shall be applicable for investments in all schemes in the folio or account.
14. Every new nomination for a folio/ account will overwrite the existing nomination. Nomination will be subject to the provisions of the respective Investment Strategy Information Document.
15. In case of investors opting to hold the units in demat form, the nomination details provided by the investor to the depository participant, will be applicable.
16. In order to receive the amount standing to the credit of the account, in the event of the death of the unitholder, the nominee (s) shall be obliged to furnish such documents as may be required by Platinum SIF, to its satisfaction.
- 17 Nomination section (Opt-In/ Opt-Out) shall be mandatory for all new folios which are opened by individual (Single/Joint Holding) and no new folios will be created without such details. The AMC has the discretion to reject the application in case the nomination section is incomplete or left blank.
18. You can make nomination or change nominee any number of times without any restriction.
19. Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). Upon demise of one of the nominees prior to the demise of the investor and if no change is made in the nomination, then the assets shall be distributed to the surviving nominees on pro rata basis upon demise of the investor. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form.
19. Transmission aspects AMCs / DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.

In case of a joint account / folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either at the time of transmission or at a later date. The regulated entity cannot seek KYC documents from the surviving joint holder(s) at the time of transmission, unless it was sought earlier but not provided by the holder(s).

Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.

In case of multiple nominees the assets shall be distributed pro-rata to the surviving nominees, as illustrated below. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form.

% share as specified by investor at the time of nomination		% share to be appointed to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% Share	Nominee	% initial share	% of A's share to be appointed	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%

**20. Nomination Details:** The Nomination Details will be as is Registered with Depository Participant for this application.



## GENERAL GUIDELINES

This One Time Mandate (OTM) registration form will be submitted through National Automated Clearing House (NACH).

- The SIP enrolment form has to be filled along with OTM (One Time Mandate) details. The installment start date should be minimum of 25 calendar days but shall not be later than 100 calendar days from date of application submission date
  - The Investment Strategy offers Monthly and Quarterly Systematic Investment Plan with a Minimum amount of INR. 50,000/- and multiples of Rs. 1/- . Investor shall have the option of choosing any date of the month as the SIP date from 01st to 28th.
  - In case the One Time mandate (OTM is successfully registered. Please submit sip registration form not less than T+5 Calendar days before the first installment date as New SIP registration will take T+5 Calendar days. The first debit may happen any time thereafter, based on the dates opted by the Unit holder(s). The installment start date shall not be later than 100 calendar days from date of application submission date. Applicant acknowledges that incomplete or ambiguous forms in any respect will not be processed & AMC reserves the right to reject such applications.
  - Investor shall have the option of choosing any date of the month as the SIP date from 01st to 28th except the last three calendar dates 29th, 30th and 31st. If SIP debit date is not mentioned default date would be considered as 5th of every month. Even if the Investor selects or mentions the SIP dates as 29th, 30th or 31st, the default SIP date would be considered as 05th of every month only.
  - Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will not be updated in the folio; however, the AMC reserves the right to communicate for transaction reasons on such contact details. All future communication whatsoever would be, thereafter, sent to the mobile number and email id registered under the folio.
  - Investor/Unitholder(s) should submit original Cancelled Cheque (or a copy) along with mandate form with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unitholder(s) cheque/bank account details are subject to third party verification.
  - Investors are required to ensure adequate funds in their bank account on the date designated SIP date. Platinum SIF through its service provider will endeavor to debit the investor bank account on or after the said date.
  - Applicant acknowledges that Platinum SIF will not be liable in any manner whatsoever, for any transaction failures due to rejection by the investor's bank/branch, which is due to technical reasons or due to delay in registration of the NACH mandate. Further, Applicant is aware that he/she has to sufficiently keep its bank account funded for such non-debited transactions; which upon confirmation may be presented anytime to its bank for stipulated SIP debits. Further, the Applicant also confirms that it will not hold Mirae Asset Platinum SIF and/or its service providers responsible if the transaction is delayed or not effected by its Bank or if debited in advance on a Pre-Debit note or after the specific date due to various reasons or for any bank charges debited by its banker in its designated account towards NACH Registration / Cancellation/Rejections, NACH Debit/Auto Debit/Local Holidays.
  - Platinum SIF reserves the right to reverse allotments in case the debit is not paid by the bank for any reason whatsoever. Further, Platinum SIF shall not be responsible and liable for any damages/compensation for any loss, damage etc., incurred by the investor. The Applicant is aware and assumes the entire risk of using the Auto Debit facility of NACH and takes full responsibility for the same.
  - Platinum SIF / Mirae Asset Trustee Co. Pvt. Ltd. / Mirae Asset Investment Managers (India) Private Limited reserves the right to discontinue or modify this facility at any time in future on a prospective basis. This right also includes the right to discontinue this facility in case Direct Debits through NACH routes are continuously rejected by the investor's bank for any reasons. Platinum SIF reserves the right to reject any application without assigning any reason thereof.
  - Kindly note that any change in original SIP enrolment details such as SIP Date, Frequency, Tenure will be considered as fresh application and will be subject to applicable load structure and other terms at the time of application. Any change in any credential of bank particulars or transaction modification, will be treated as fresh Instructions, and applicants will have to use separate form for such changes/modifications.
  - Investments made through Auto Debit mode are subject to realization of funds from investor bank accounts and the NAV guidelines will be applicable for the transactions which are connected with realization of funds.
  - In case any payment instruction for SIP installment is dishonored by the Bankers for three consecutive times for the reason Account Closed or In sufficient balance or any technical reasons as provided by NPCI / Aggregator, Sip will be terminated.
  - The facility will be automatically terminated upon receipt of intimation of death of the Unitholder. Further, fresh registrations will be required for all Minor Investors who have turned Major, along with fresh bank account credentials. MAMF reserves the right to reject all such transactions through old registrations.
  - Each SIP installment will be treated as a fresh transaction and shall be subject to applicable exit load structure prevailing on the date of each investment.
  - Third Party Cheque / Funds Transfer: The payment towards investment can happen only from the bank account of 1st holder and therefore the 1st holder needs to be one of the holder in the bank account. As per the recent guidelines, Platinum SIF has decided to restrict the acceptance of Third Party payments. Accordingly Third Party payment instruments for subscriptions / investments shall not be accepted by the AMC except in the following cases:
    - Custodian on behalf of an FII or a Client.
    - Payment by the AMC to an empanelled Distributor on account of commission/ incentive etc. in the form of the Platinum SIF units of the Investment Strategy managed by the AMC through SIP or lump sum / one-time subscription.
    - Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Platinum SIF through SIP or lump sum / onetime subscription.
- For complete details, please refer the section on Third Party cheques given in the General Instructions.

Investment Strategy	Monthly / Quarterly Frequency
Platinum Hybrid Long-Short Fund	₹ 50,000 - 5 Installments - Multiples of Re. 1/-

Please note Default Option is Growth. In case of Income Distribution cum capital withdrawal option, default option is Reinvestment of Income Distribution cum capital withdrawal option. In case of ambiguity at Plan level, it will always be under Direct Plan.

- The transactions are liable to rejection in case Investor has Multiple Auto Debit Mandate at folio level. Separate forms will be required to be submitted to avail of SIP in separate Investment Strategy / plans / date. A single form cannot be used for different investment strategy simultaneously.
- Employee Unique Identification Number (EUID): SEBI has made it compulsory for every employee / relationship manager / sales person of the distributor of Platinum SIF products to quote the EUID obtained by him / her from AMFI in the Application Form. EUID, particularly in advisory transactions, would assist in addressing any instance of misspelling even if the employee / relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing Platinum SIF products are also required to obtain and quote EUID in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUID is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EUID box may be left blank. In this case, you are required to tick mark the box provided above the signature box. However, in case of any exceptional cases where there is no interaction by the employee/sales person / relationship manager of the distributor/sub broker with respect to the transaction, AMCs shall take the requisite declaration separately signed by the investor.
- Platinum SIF/Sponsor Bank/NPCI are not liable for the bank charges, if any debited from investor's bank account by the destination bank, on account of payment through OTM.
- For further details of the Investment Strategy features like minimum amounts, risk factors etc, investors should, before investment, refer to the Investment Strategy Information Document, Key Information Memorandum and Addendum issued available at any of the Investor Service Centers or distributors or from the website <https://www.platinumsif.miraeassetmf.co.in>

22. Pursuant to recent SEBI guideline, w.e.f 01st Feb, 2021, Allotment of units will be based on Realization basis. Here the date and time of receipt of funds into the collection account of the Investment Strategy will be considered and it will not be basis the debit date from investor's bank account. It may be please noted that different payment modes may have different clearing cycles, and accordingly, there will be difference in the transaction date versus the NAV/applied date for across transactions like Lumpsum, SIP or Switches including STP's. In case of Switches or STP's the Allotment will be as per the settlement cycle of the OUI Investment Strategy.

23. SIP Cancellation: Investor can submit SIP cancellation request which will be processed in 3 working days from the date of request. However the scheduled SIP instalment will be processed.



## TERMS AND CONDITIONS

1. One Time Mandate (OTM) is a facility (herein after referred as 'facility') whereby the Unit holder(s) can register a One Time Mandate to debit their bank account up to a certain limit per transaction, as per their choice, with Mirae Asset Mutual Fund ('Fund') and authorizing the Fund and the bank to debit their bank account for payment towards various purchases or SIP instalments submitted through various modes offered or as may be offered from time to time by **Platinum SIF**.
2. This facility is an authorization to the bank, as indicated by the Unit holder(s) in the OTM form, to debit their bank account up to a certain limit in a particular folio per registration per transaction, based on their instruction to the Fund, whenever they choose to invest or start a SIP.
3. Unless otherwise specified, the term 'mandate' in these terms and conditions refers to the specific bank and bank account number of the investor/s or unit holder/s as mentioned by them in the OTM form (mandate form) to be used for debits for payment towards SIP instalments..
4. The Application Form should be completed in ENGLISH and in BLOCK LETTERS only.
5. Investors who have already submitted a One Time Mandate (OTM) form or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account towards OTM facility may fill the form.
6. Investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned. There is no requirement of filling a mandate form every time for a new SIP, as long as the amount of the instalments for the SIPs registered are within the mandate amount.
7. In a folio, the Unit holder(s) can register only a single One Time Mandate with a particular bank account number. In other words, for the same bank account number, the unit holder(s) cannot submit more than one mandate in a folio. However, the Unit holder(s) can register multiple mandates of different bank account numbers maintained with the same bank or different banks.
8. This facility is available to all categories of investors who are eligible to invest in the schemes of the Fund from time to time MAMF reserves the right to restrict or withdraw or discontinue the OTM facility to certain categories of investors or to any specific investor anytime at its discretion without assigning any prior reason thereof.
9. Application forms along with supporting documents can be submitted to Investor Service Centre of the AMC or the RTA – M/s Kfintech, contact details of which are available on [www.miraeassetmf.co.in](http://www.miraeassetmf.co.in)
10. Registration of One Time Mandate will take 25 Calendar days from the date of submission of form.
11. Maximum amount per OTM is Rs. 1 Crore
12. Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will not be updated in the folio; however, the AMC reserves the right to communicate for transaction reasons on such contact details. All future communication whatsoever would be, thereafter, sent to the mobile number and email id registered under the folio.
13. Investors are deemed to have read and understood the terms and conditions of OTM Facility, SIP registration through OTM facility, the **Investment Strategy** Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addendum issued from time to time of the respective Scheme(s) of **Platinum SIF**.
14. "National Automated Clearing House (NACH)" is Direct Electronic Debit mode implemented by National Payments Corporation of India (NPCI), list of banks is available on NPCI website [www.npci.org.in](http://www.npci.org.in). The said list is subject to modifications. The investor agrees to abide by the terms and conditions of NACH Debit/ECS of Reserve Bank of India/Banks.
15. Date and the validity of the mandate should be mentioned in DD/MM/ YYYY format.
16. Utility Code of the Service Provider will be mentioned by Platinum SIF.
17. Tick on the respective option to select your choice of action and instruction.
18. Please mention the Name of Bank and Branch, IFSC / MICR Code also provide an Original Cancelled copy of the cheque of the same bank account registered in One Time Mandate.
19. Amount payable for service or maximum amount per transaction that could be processed in words. The amount in figures should be same as the amount mentioned in words, in case of ambiguity the mandate will be rejected.
20. For the convenience of the investors the frequency of the mandate will be "As and When Presented"
21. Please affix the Names of customer/s and signature/s as well as seal of Company (where required) and sign the undertaking
22. The Investor/s shall not hold the AMC liable for the following:
  - For any transaction using the Facility carried out in good faith by the AMC on instructions of the Investor/s.
  - For unauthorized usage/ unauthorized transactions conducted by using the facility.
  - For any loss or damage incurred or suffered by the Investor/s due to any error, defect, failure or interruption in the provision of the Facility arising from or caused by any reason whatsoever.
23. Investor will not hold Platinum SIF its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific date due to various clearing cycles of NACH Debit/ Local/Bank holiday. Platinum SIF its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or ECS / Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
24. Platinum SIF reserves the right to reject any application without assigning any reason thereof. Platinum SIF in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis.
25. It is clarified that the Facility is only with a view to accommodate / facilitate the Investor/s and offered at the sole discretion of the AMC. The AMC is not bound and/or obliged in any way to give access to the Facility to Investor/s.
26. The Investor/s shall check his/ her account records carefully and promptly. If the Investor/s believes that there has been a mistake in any transaction using the Facility, or that an unauthorized transaction has been affected, the Investor/s shall notify the AMC immediately. If the Investor/s defaults in intimating the discrepancies in the statement within a period of fifteen days of receipt of the statements, he waives all his rights to raise the same in favor of the AMC, unless the discrepancy/ error is apparent on the face of it. By opting for the facility, the Investor/s hereby irrevocably authorizes and instructs the AMC to act as his/ her agent and to do all such acts as AMC may find necessary to provide the Facility.
27. Investor/s can choose to cancel the OTM by filling OTM cancellation form 10 days in advance of the next SIP date
28. While submitting the mandate the gap between the current business date and date of the mandate should be less than 120 days, if the gap is more than 120 days then such mandates shall be rejected.  
The date of the mandate should be less than or equal to the current business date. If the date is beyond the current business date, then such mandate shall not be accepted.
29. **As per NPCI latest circular maximum end date will be upto 40 years. Hence it is mandatory to provide the End date on the form.**

# Platinum Hybrid Long-Short Fund- ASBA Form

(An interval investment strategy investing in equity and debt securities, including limited short exposure in equity and debt through derivatives.)  
 (Script Code for NSE & BSE will be added after listing of the units)

NFO Start Date: May 20, 2026 | NFO Close Date: June 3, 2026 | Reopen Date: June 11 2026

## PLATINUM SIF

by Mirae Asset Mutual Fund

1 DISTRIBUTOR INFORMATION			FOR OFFICE USE ONLY			
Name & Distributor Code	Sub-Broker Code	EUIN*	SCSB	SCSB IFSC Code	Syndicate Member Stamp & Code	SCSB Branch Sr. No.
	ARN		Name & Code	11 Digit Code	Name & Code	

\*Investors should mention the EUIN of the person who has advised the investor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'.

All sections to be filled in English and in BLOCK LETTERS.  
 All columns marked \* are mandatory.

Signature(s)	Sole/1st Applicant/Guardian/ Authorised Signatory/POA Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory

2 APPLICANT INFORMATION TO BE FILLED IN BLOCK LETTERS*	
Name of Sole/1st Applicant	Mr. Ms. M/s. Others (Please Specify)
In case of Minor - Parent/Legal Guardian Name of 1st Applicant / Contact person (in case of non individual applicant)	
	Relationship with Minor/Designation
PAN of First Applicant/Guardian	

3 BANK ACCOUNT DETAILS FOR BLOCKING OF FUNDS*	
A/c. Type [please ]	<input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR
Account No	Bank Name
Branch	Branch Address
	City Pin
IFSC Code	MICR Code

4 DEMAT ACCOUNT DETAILS*	
Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant.	
National Securities Depository Limited	Central Securities Depository Limited
Depository participant Name _____	Depository participant Name _____
DP ID No. I N	Target ID No.
Beneficiary Account No.	
Enclosures (Please tick any one box) : <input type="checkbox"/> Client Master List (CML) <input type="checkbox"/> Transaction cum Holding Statement <input type="checkbox"/> Cancelled Delivery Instruction Slip (DIS)	
The details provided by investors in the application form should be same as the details registered with the DP. In case of any conflict, details registered with the DP will prevail. In case of incorrect details, the application would be rejected and investment amount refunded, within 5 business days from the NFO closure.	

5 INVESTMENT & PAYMENT DETAILS*	
Platinum Hybrid Long-Short Fund	
Subscription Amount (₹)	Amount in words

6 DECLARATION AND SIGNATURE(S)	
1-I/ We hereby undertake that, I/we have read and understood the instructions contained in this Form and Terms and Conditions concerning ASBA as contained in the Investment Strategy Information Documents (SID) / Key Information Memorandum (KIM) of the above mentioned Investment Strategy and Statement of Additional Information (SAI) of Platinum SIF. Further I/we understand that if the details as provided by me/us in this Form are different from those in the NFO Application Form, then in such a case; the information as provided by me/us herein will be relied upon. 1-A-I/we further confirm and undertake that I am/we are eligible ASBA applicants(s) as per the relevant provisions of the SEBI (Issue of Capital and Disclosure Requirement) Regulations, 2009. 2-In accordance with provisions of ASBA in the SEBI ICDR Regulations, 2009 and as disclosed in the SAI, I/we authorize-(a) the SCSB to do all acts as are necessary to make an application in the New Fund Offer of above mentioned Investment Strategy, including uploading of application details, blocking the amount to the extent mentioned above under "SCSB BANK ACCOUNT DETAILS" or unblocking of funds in the bank account maintained with the SCSB specified above, transfer of funds to the Platinum SIF account on receipt of instructions from the Registrar to Platinum SIF after finalisation of the basis of allotment, entitling me/us to receive Platinum SIF units on such transfer of funds, etc. (b) Registrar to issue instructions to the SCSB to unblock the funds in the bank accounts specified above upon finalisation of the basis of allotment and to transfer the requisite money to the Platinum SIF account. 3-In case the amount available in the bank account specified above is insufficient, the SCSB shall reject the application. Further, I/we also authorize the SCSB to make relevant revisions as may be required to be done during the NFO, in the event of any modification due to information required. 4-If the DP ID, Beneficiary or PAN is not provided by me/us or the details on the same as furnished in the form are incorrect or incomplete or not matching with the depository records, my/our application shall be rejected and Platinum SIF or SCSB shall not be liable for losses, if any.	

Date   D   D   M   M   Y   Y   Y   Y	Signature(s)	Signature of First Bank Account Holder	Signature of Second Bank Account Holder	Signature of Third Bank Account Holder
Place				

PLATINUM SIF  
by Mirae Asset Mutual Fund

ASBA ACKNOWLEDGEMENT SLIP  
(To be filled in by the investor)

New Fund Offer Open on : 20/05/2026  
 New Fund Offer Closes on : 03/06/2026

Received from Mr./Ms./M/s. \_\_\_\_\_ an application for allotment  
 Investment Strategy Platinum Hybrid Long-Short Fund Plan \_\_\_\_\_ Option \_\_\_\_\_

Bank Name	Branch Name
Total Amount to be blocked ₹ (Figures)	
Total Amount to be blocked ₹ (Words)	

SCSB Receipt Signature Stamp and Date
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Applicable to NRI only: I/We confirm that I am/We are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (✓) (Including amount of Additional Purchase Transaction made in future)

Repatriation       Non Repatriation

ASBA Forms should be submitted only with an authorized branch of designated SCSBs. Copy of acknowledgement receipt of the ASBA forms issued by SCSB should be submitted with the NFO form to Mirae Asset Platinum SIF or its Registrar, Kfintech Ltd.

#### INSTRUCTIONS FOR FILLING ASBA APPLICATION FORM

1. Please visit [www.sebi.gov.in/cms/sebi\\_data/attachdocs/1365051213899.html](http://www.sebi.gov.in/cms/sebi_data/attachdocs/1365051213899.html) or [www.nseindia.com](http://www.nseindia.com) for list of currently available Self Certified Syndicate Banks (SCSB) offering ASBA facility with their designated branches.
2. The investor shall submit the ASBA Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB. Investors should check with their bank branch to confirm whether the branch is offering ASBA facility.
- 3 ASBA Application Form will not be accepted by any of the offices of Platinum SIF or its Registrar & Transfer Agent, I.e. KFin Technologies Ltd.
4. An ASBA Investor shall submit a duly filled up **ASBA Application form, physically or electronically**, to the SCSB with whom the bank account to be blocked, is maintained.
  - In case of **ASBA application in physical mode**, the investor shall submit the ASBA Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
  - In case of **ASBA application in electronic form**, the investor shall submit the ASBA Form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of Platinum SIF Investment Strategy authorising SCSB to block the subscription money in a bank account.
5. Investors shall correctly mention the Bank Account number in the ASBA Application Form and ensure that funds equal to the subscription amount are available in the bank account maintained with the SCSB before submitting the same to the designated branch.
6. Upon submission of an ASBA Form with the SCSB, whether in physical or electronic mode, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the Designated Branch to block such amount in the Bank Account.
- 7 On the basis of an authorisation given by the account holder in the ASBA application, the SCSB shall block the subscription money in the Bank Account specified in the ASBA application. The subscription money shall remain blocked in the Bank Account till allotment of units under the Investment Strategy or till rejection of the application or incase of winding up of Investment Strategy, as the case may be.
8. If the Bank Account specified in the ASBA application does not have sufficient credit balance to meet the subscription money, the ASBA application shall be rejected by the SCSB.
9. The ASBA Form should not be accompanied by cheque, demand draft or any mode of payment other than authorisation to block subscription amount in the Bank Account.
10. Investor is required to submit a copy of the acknowledgement receipt of the ASBA Form (as submitted with SCSB) along with the NFO Application Form to Platinum SIF or any of its official point of acceptance.
11. Platinum SIF or its Registrar, Kfintech Ltd. shall not be liable for any negligence or mistake committed by the SCSBs.
12. All grievances relating to the ASBA facility may be addressed to the AMC/RTA to the issue, with a copy to the SCSB, giving full details such as name, address of the applicant, subscription amount blocked on application, bank account number and the designated Branch or the collection centre of the SCSB where the ASBA Form was submitted by the Investor.
13. ASBA facility extended to investors shall operate in accordance with the SEBI guidelines in force from time to time.